

Receipt #5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Decai (NMI) Sun, et al.  
FOR : A SEMI-TRANSPARENT POWER  
SERIAL NO. : MONITOR INTEGRATED WITH A  
FILED : LIGHT PRODUCING MODULE  
EXAMINER : 09/924,840  
GROUP ART UNIT : September 8, 2001  
LAST OFFICE ACTION : Unknown  
ATTORNEY DOCKET NO. : 2815  
None  
D/99580I  
XER 2 0292-3



REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner For Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is:

- ☒ incorrectly entered  
and/or
- ☐ omitted
  - ☐ Applicant's name
  - ☒ Applicant's address
  - ☐ Title
  - ☐ Filing Date
  - ☐ Serial Number
  - ☐ Foreign/PCT Application Reference
  - ☒ Other Number of Drawing Sheets Submitted

in that:

(1) the number of drawing sheets submitted is incorrectly indicated on the Filing Receipt as "17". As evidenced by the enclosed copies of the application transmittal sheet and return postcard, 22 sheets of informal drawings (Figures 1-4, 5a-d, 6a-d, 7-20, 21a-b, 23-27) were submitted with the application; and

(2) the city of residence of inventor Francesco Lemmi is incorrectly spelled as "Roha", which should be spelled as "Roma, ITALY."

Accordingly, the filing receipt should read as follows:

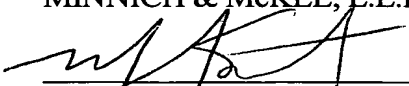
1) **Drawings 22**

2) **Francesco Lemmi, Roma, ITALY**

3. ☒ The correction is not due to any error by Applicant and no fee is due  
**OR**  
☐ The correction is due to Applicant's error and the fee therefor under 37 C.F.R. §1.19(h) of \$25.00 is paid as follows:
- ☐ enclosed is a check for \$25.00
  - ☐ charge Deposit Account No. 06-0308 in the amount of \$25.00

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, L.L.P.

  
\_\_\_\_\_  
Mark S. Svat  
Reg. No. 34,261  
1100 Superior Avenue  
Seventh Floor  
Cleveland, Ohio 44114-2518  
(216) 861-5582

CERTIFICATE OF MAILING

I hereby certify that this Request For Corrected Filing Receipt is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on October 10, 2001.

  
\_\_\_\_\_  
Karen M. Forsyth



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UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 09 2001

FAY, SHARPE, BEALL, FAGAN,  
MINNICH & MCKEECOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/924,840

08/08/2001

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710

D/995801  
XER 2 0292-  
3X  
22

18

3

CONFIRMATION NO. 6795

FILING RECEIPT



\*OC000000006847690\*

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP  
Seventh Floor  
1100 Superior Avenue  
Cleveland, OH 44114-2518

XER 20292-3

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Decai Sun, Los Altos, CA;  
Eric Peeters, Fremont, CA;  
Christopher L. Chua, San Jose, CA;  
Francesco Lemmi, ~~Roma~~, ITALY; **ROMA**  
Patrick Y. Maeda, Mountain View, CA;  
Scott Solberg, Mountain View, CA;

**Assignment For Published Patent Application**

XEROX CORPORATION;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/469,122 12/21/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

"DOCKETED"

**Title**

Semi-transparent power monitor integrated with a light producing module

**Preliminary Class**

257

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Date of Deposit: August 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231

By: Karen M. Forsyth



EL852678718US

Attorney's Docket No. D/995801  
XER 2 0292-3

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for nonprovisional applications under 37 CFR 1.53(b))

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Transmitted herewith for filing in the Continuation-in-Part Patent Application of:

Decai (NMI) Sun  
Eric Peeters  
Christopher L. Chua  
Francesco Lemmi  
Patrick Y. Maeda  
Scott Solberg

Entitled: A SEMI-TRANSPARENT POWER MONITOR INTEGRATED WITH A LIGHT PRODUCING MODULE

Enclosed are:

- [X] 21 sheets of specification, 5 sheets of claims, 1 sheet of Abstract.
- [X] 22 sheets of [ ] formal/[X] informal drawings, Figures 1-4, 5a-d, 6a-d, 7-20, 21a-b, 22a-b, 23-27.
- Use Figure 2 for front page of Publication.
- [X] an assignment of an invention to XEROX CORPORATION (and transmittal therefor).
- [X] a Declaration and Power of Attorney for Patent Application.
- [ ] Filing under C.F.R. 1.41(c)
- [X] Information Disclosure Statement and PTO-1449
- [X] Preliminary Amendment

Benefit of Prior U.S. Applications:

This is a continuation-in-part application of copending application Serial No. 09/469,122, filed December 21, 1999.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	[XX] \$18 LARGE [ ] \$09 SMALL	\$ .00
INDEPENDENT CLAIMS	3 - 3 =	0	[XX] \$80 LARGE [ ] \$40 SMALL	\$ .00
BASIC FEE	[XX] LARGE ENTITY \$710 [ ] SMALL ENTITY \$355			
TOTAL FILING FEE				\$ 710.00

- ☒ Please charge Deposit Account No. 24-0037 in the amount of \$ 710.00.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 24-0037.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ This application is NOT to be published under 35 U.S.C. 122(b). The undersigned attorney or agent hereby certified that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

☒ The assignee of this application to be indicated on its publication is Xerox Corporation.

Date

Aug 8, 2001

Attorney of Record

Mark S. Svat

Reg. No. 34,261

FAY, SHARPE, FAGAN,


MINNICH & MCKEE, LLP

1100 Superior Avenue

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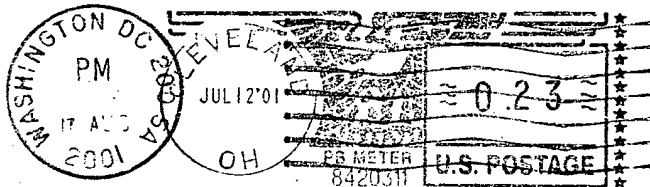
Cleveland, Ohio 44114-2518

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Inventor/Applicant: <u>Dacan, J. et al.</u>		Pat. No. _____
Title: <u>Anti-Interparent Power...</u>	Ser. No. <u>Unknown</u>	NO. _____
Attorney Docket No. <u>882093-3</u>	Filed <u>8-8-01</u>	Date <u>8-8-01</u>
<input checked="" type="checkbox"/> PATENT/DESIGN APPLICATION		<input type="checkbox"/> AMENDMENT (Due _____)
<input checked="" type="checkbox"/> New Application Transmittal		Transmittal(s) _____
Cont. _____ Div. <u>C-I-P</u> _____ Provisional _____	Extension of Time (For _____ month(s))	
<input checked="" type="checkbox"/> Declaration/Power of Atty.		
pgs. Specification <u>21</u>		
pgs. Claims <u>5</u>		
<u>18</u> total <u>3</u> independent		
pgs. Abstract <u>32</u>		
Sheet(s) of drawing(s) <u>Fig. 1-24</u>		
formal _____ Informal _____	<input type="checkbox"/> CHECK(S) in Amount \$ <u>Dep. Inst. 710<sup>00</sup></u>	
<input checked="" type="checkbox"/> Preliminary Amendment	<input checked="" type="checkbox"/> OTHER <u>Request for amendment to drawings to substitute drawings for publication</u>	
<input checked="" type="checkbox"/> Request and certification under 35 U.S.C. 122(b)(2)(B)(i)	RECEIPT IS HEREBY ACKNOWLEDGED	
<input checked="" type="checkbox"/> Claim for Right of Priority	J1050 U.S. PTO	
<input checked="" type="checkbox"/> Priority document(s)	09/924840	
<input checked="" type="checkbox"/> Small Entity Statement(s)		
<input checked="" type="checkbox"/> Final Fee	DOCKETED	
<input checked="" type="checkbox"/> Response to missing parts	PTO Form 1449-9 Refs.	
<input checked="" type="checkbox"/> ASSIGNMENT	INFORMATION DISCLOSURE STATEMENT	
<input checked="" type="checkbox"/> Transmittal _____ Confirmatory _____		



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